

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1570.00)

Complete if Known

| | |
|----------------------|---------------|
| Application Number | 09/575,154 |
| Filing Date | 23 May 2000 |
| First Named Inventor | Paul Lapstun |
| Examiner Name | Daniel St Cyr |
| Art Unit | 2876 |
| Attorney Docket No. | NPT001US |

FAX RECEIVED

MAY 23 2003

TECHNOLOGY CENTER 2800

METHOD OF PAYMENT (check all that apply)
☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

 Deposit Account Number
 Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION
1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent | -20** = | X | |
| Multiple Dependent | -3** = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1202 18 | 2202 9 | Claims in excess of 20 | |
| 1201 84 | 2201 42 | Independent claims in excess of 3 | |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid | |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)
3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|--|----------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | 750.00 |
| 1802 900 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) 3 months fees less 1 month fee | | | 820.00 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1570.00

SUBMITTED BY

Name (Print/Type) Paul Lapstun, Kia Silverbrook and Simon Robert Wainmaty

Registration No.

(Attorney/Agent)

(Complete if applicable)

Telephone +61298186633

Signature

[Signature]

Date

May 26, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Certificate of Transmission under 37 CFR 1.8

USSN 09/575,154 (Docket No. NPT001US)
Response to Advisory Office Action dated April 21, 2003I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Officeon May 26, 2003
Date
SignaturePaul Lapstun, Kia Silverbrook and Simon Robert Walmsley
Typed or printed name of person signing CertificateNote: Each paper must have its own certificate of transmission, or this certificate must identify
each submitted paper.

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**SILVERBROOK RESEARCH Pty Ltd**

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PO Box 207 Balmain NSW 2041 Australia

Phone: +61 2 9818 6633 Fax: + 61 2 9818 6711

Email: info@silverbrookresearch.com

ACN 066 573 671

FACSIMILE MESSAGE

Date **May 26, 2003**

To: **Receptionist Group Art Unit 2876**

Fax No.: **0011 1 703 308 7721**

Subject: **United States Patent Application No. 09/575,154**
Inventors/Assignors: Paul Lapstun, Kia Silverbrook and Simon Robert
Walmsley
Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref: **NPT001US**

Total Number of Pages (including this) : **10**

Attached is a response to the Office Action of Examiner Daniel St Cyr, dated April 21, 2003.

Regards

PP: Leonie News
Silverbrook Research Pty Ltd

*Attachment omitted from
previous facsimile*

This facsimile contains information that is privileged and confidential, and is intended only for the use of the individual or entity named above. If you have received this facsimile in error, or if the transmission is not complete, please notify us immediately by telephone or facsimile.

#12/RCE
6/11/03
C Moore

PTO/SB/30 (5/2000)
Approved for use through 10/10/2000. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

| | |
|------------------------|---------------|
| Application Number | 09/575,154 |
| Filing Date | May 23, 2000 |
| First Named Inventor | Paul Lapstun |
| Group Art Unit | 2876 |
| Examiner Name | Daniel St Cyr |
| Attorney Docket Number | NPT001US |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.63 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
 i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
 (Any unentered amendment(s) referred to above will be entered).
 ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 iii. ☐ Other _____
 b. ☒ Enclosed
 i. ☒ Amendment/Reply
 ii. ☐ Affidavit(s)/Declaration(s)
 iii. ☐ Information Disclosure Statement (IDS)
 iv. ☒ Other Petition for Extension of Time

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MAY 23 2003

TECHNOLOGY CENTER 2800

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
 b. ☐ Other _____

3. Fees

- The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
 a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
 i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
 ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 iii. ☐ Other _____
 b. ☐ Check in the amount of \$ _____ enclosed
 c. ☒ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Paul Lapstun, Kia Silverbrook and Simon Robert Walsmley | Registration No. (Attorney/Agent) | N/A |
| Signature | <i>Paul Lapstun</i> | Date | May 26, 2003 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
 Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

| | | | |
|-------------------|--------------------------|------|--|
| Name (Print/Type) | CHILLS 00000015 09575154 | Date | |
| Signature | | | |

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05/18/2003

01 FC:2252

02 FC:2801

PTO/SB/30 (5/2000)

Approved for use through xerox/scan. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | |
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| Application Number | 09/575,154 |
| Filing Date | May 23, 2000 |
| First Named Inventor | Paul Lapstun |
| Group Art Unit | 2876 |
| Examiner Name | Daniel St Cyr |
| Attorney Docket Number | NPT001US |

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 (Any unentered amendment(s) referred to above will be entered).
 ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 iii. ☐ Other _____
- b. ☒ Enclosed
 i. ☒ Amendment/Reply
 ii. ☐ Affidavit(s)/Declaration(s)
 iii. ☐ Information Disclosure Statement (IDS)
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- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
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 ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Paul Lapstun, Kia Silverbrook and Simon Robert Walsmley | Registration No. (Attorney/Agent) | N/A |
| Signature | <i>Paul Lapstun</i> | Date | May 26, 2003 |

CERTIFICATE OF MAILING OR TRANSMISSION

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| Signature | | | |

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#13/Response
6.11.03
C Moore

In the United States Patent and Trademark Office

Serial Number: 09/575,154
Application. Filed: May 23, 2000
Applicant: Paul Lapstun, Kia Silverbrook and Simon Robert Walmsley
Application. Title: IDENTITY-CODED SURFACE WITH REFERENCE POINTS
Examiner/GAU: St Cyr, Daniel/GAU2876

Dated May 26, 2003
At: Balmain NSW Australia
Docket No. NPT001US

AMENDMENT C

Assistant Commissioner for Patents
Washington, District of Columbia 20231

Dear Sir:

In response to the Office Action of April 21, 2003, the Applicant responds as follows: